



# APPENDIX - XII

## FORMAT 1

**Government of India**  
**Ministry of Small Scale Industries**  
**Office of the Development Commissioner (Small Scale Industries)**  
**Third Census (2002-2003)-Format for the Registered SSI Units**

### Block [1] Identification Particulars of

1. Serial No.	2. New State Code	3. New District Code	4. Tehsil/Taluk/Mandal Code for Rural Units	5. Sector Code
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	Rural - 1 <input type="text"/> Urban - 2 <input type="text"/>
6. Village/Town Code	7. Has the unit worked for at least a day during 2001-2002 (Yes-1, No-2)	<input type="text"/>	8. If code is '2' in item 7, year when the unit was closed (YYYY)	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	10. If code is '1' in item 7, Survey Code (Unit is surveyed-1, Unit is not surveyed 2)	<input type="text"/>
9. If the code is '1' in item 7, duration of operation	<input type="text"/> Months	<input type="text"/> Days		

### Block [2] Other Particulars of

1. NIC Code of Major Activity as per NIC 98	<input type="text"/>	2. Year of Permanent Registration (YYYY)	<input type="text"/>	3. Permanent Registration No.	<input type="text"/>
4. Whether registered under Factories Act (Registered under Section 2 (m)(i) or 2(m)(ii) - 1; under Section 85(i) or 85 (ii) - 2 Not registered - 3)	<input type="text"/>	5. Year of Initial Production (YYYY)	<input type="text"/>		
6. Is the Unit SSI or SSSBE (SSI - 1, SSSBE - 2)	<input type="text"/>	7. Is the Unit Ancillary Unit (Yes - 1, No - 2)	<input type="text"/>	8. Type of Organization (Proprietary or HUF-1, Partnership-2, Pvt. Company-3, Cooperative-4, Others-5)	<input type="text"/>
9. Whether the Unit is a Woman Enterprise (Yes -1, No-2)	<input type="text"/>	10. Market value of Fixed assets as on 31-3-2002 (in Rs.)	<input type="text"/>		
11. Original Purchase Value of Plant and Machinery physically installed as on 31-3-2002 (in Rs.)	<input type="text"/>	12. Year of installation of Plant and Machinery referred to, in item 11 (YYYY)	<input type="text"/>		
13. Employment : Total	<input type="text"/>	Male	<input type="text"/>	Female	<input type="text"/>
SC	<input type="text"/>	ST	<input type="text"/>	OBC	<input type="text"/>
				Others	<input type="text"/>
14. Main Source of Power (No power needed-1, Coal-2, Oil -3, LPG-4, Electricity-5, Non-Conventional Energy-6, Traditional Energy/ Firewood-7)	<input type="text"/>	15. 1st Product/ Service (ASICC Code)	<input type="text"/>		
16. 2nd Product/ Service (ASICC Code)	<input type="text"/>	17. 3rd Product/ Service (ASICC Code)	<input type="text"/>	18. 4th Product/ Service (ASICC Code)	<input type="text"/>
19. 5th Product/Service (ASICC Code)	<input type="text"/>	20. Nature of Activity (Manufacturing/Assembling / Processing - 1, Repairing & Maintenance - 2, Services - 3)	<input type="text"/>		
21. Nature of Operation (Perennial-1, Seasonal-2, Casual-3)	<input type="text"/>	22. Whether managed by (Male-1, Female-2)	<input type="text"/>	23. Whether managed by (SC-1, ST-2, OBC-3, Others-4)	<input type="text"/>
24. Whether Registered under Single Point Registration Scheme of NSIC (Yes-1, No-2)	<input type="text"/>	25. Whether Accounts maintained (Yes-1, No-2)	<input type="text"/>	26. Whether the Unit has a Computer (Yes-1, No-2)	<input type="text"/>
27. Technical know-how obtained from (Abroad-1, Domestic Collaborating Company/ Unit-2, Domestic R&D Institution/ Specialized Agency/ Organization-3, None-4)	<input type="text"/>				
28. Gross Output for 2001-2002 (in Rs.)	<input type="text"/>	29. Gross Output for 2000-2001 (in Rs.)	<input type="text"/>	30. Gross Output for 1999-2000 (in Rs.)	<input type="text"/>
31. Value of Exports if any for 2001-2002( in Rs.)	<input type="text"/>	32. Whether the Enterprise has an Outstanding Loan as on 31-3-2002 (Yes-1, No-2)	<input type="text"/>	34. Net Worth of the Unit during 2000-2001	<input type="text"/>
33. If code is 1 in item 32, Sources:				35. Net worth of the Unit during 2001-2002(in Rs.)	<input type="text"/>
I) Institutional Sources i.e. Bank, State Financial Corporations, Other Govt. Agencies (Yes-1, No-2)	<input type="text"/>				
II) Non-institutional Sources i.e., Money Lenders, Friends and Relatives (Yes - 1, No- 2)	<input type="text"/>				

36. Whether the value in item 35 is less than or equal to half of the value in item 34 (Yes -1, No-2)

☐

37. If code in item 33 is 1 in respect of institutional sources, has there been a delay in repayment of principal or interest for more than 12 months as on 31-3-2002 (Yes - 1, No -2)

☐

38. Has there been continuous decline in the Gross Output during 2001-2002 compared to the previous 2 financial years (Yes -1, No - 2)

☐

**39. If the answer to atleast one of the items 36 to 38 is 'Yes', reasons as to why the Enterprise has not been running satisfactorily:**

I) Lack of Demand  
(Yes-1, No-2)

☐

II) Shortage of Working Capital  
(Yes-1, No-2)

☐

III) Non-availability of Raw Material  
(Yes-1, No-2)

☐

IV) Power Shortage  
(Yes-1, No-2)

☐

V) Labour Problems  
(Yes-1, No-2)

☐

VI) Marketing Problems  
(Yes-1, No-2)

☐

VII) Equipment Problems  
(Yes-1, No-2)

☐

VIII) Management Problems  
(Yes-1, No-2)

☐

### Block [3] Descriptive

1. Name of the State/UT

2. Name of the District

3. Name of the Village/Town

4. Name of the Unit

5. Address of the Unit

6. Pin Code

7. Telephone No.

8. Fax No.

9. Email Address of the Unit

10. Major Activity of the Unit

11. Major Products/  
Services of the Unit

I)

II)

III)

IV)

V)

12. Name & Signature of the Enumerator

13. Name & Signature of the Data Inspector

14. Name & Signature of the Supervisor

**APPENDIX - XIII****FORMAT 2**

**Government of India**  
**Ministry of Small Scale Industries**  
**Office of the Development Commissioner (Small Scale Industries)**  
**Third Census (2002-2003)**

**Format for Summarising the Listing of Unregistered SSI Units****[1] Descriptive Identification of Sample Village/Urban**

1. Name of the State/UT	<input type="text"/>																																	
2. Name of the District	<input type="text"/>																																	
3. Name of the Village/Town	<input type="text"/>																																	
4. Dates of Listing Survey	<table border="0"> <tr> <td><input type="text"/></td><td><input type="text"/></td><td><input type="text"/></td><td><input type="text"/></td><td><input type="text"/></td><td><input type="text"/></td><td><input type="text"/></td><td><input type="text"/></td> <td>TO</td> <td><input type="text"/></td><td><input type="text"/></td><td><input type="text"/></td><td><input type="text"/></td><td><input type="text"/></td><td><input type="text"/></td><td><input type="text"/></td> </tr> <tr> <td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> <td></td> <td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> </table>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	TO	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	D	D	M	M	Y	Y	Y	Y		D	D	M	M	Y	Y	Y	Y
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	TO	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>																			
D	D	M	M	Y	Y	Y	Y		D	D	M	M	Y	Y	Y	Y																		
5. If Urban Sample, Frame Code	<input type="text"/> <div style="margin-left: 200px;">Unit No. <input type="text"/></div> <div style="margin-left: 100px;">UFS Block No. <input type="text"/></div>																																	
6. If Rural Sample, Name of Tehsil/Taluk/Mandal	<input type="text"/>																																	

**[2] Identification of Sample Village/Urban**

1. Sample Serial No. as per Sample List	<input type="text"/>	6. Village/Town Code as per Sample List	<input type="text"/>
2. State/UT Code as per Sample List	<input type="text"/>	7. Survey Code (Surveyed-1, Not surveyed-2)	<input type="text"/>
3. District Code as per Sample List	<input type="text"/>	8. NewState/UT Code as per 2001 Census	<input type="text"/>
4. Sector Code as per Sample List (Rural-1, Urban-2)	<input type="text"/>	9. New District Code as per 2001 Census	<input type="text"/>
5. Stratum Number as per Sample List	<input type="text"/>	10. Random Start for :	
		Sub-Stratum I	<input type="text"/>
		Sub-Stratum II	<input type="text"/>

**11. Name & Signature of the Enumerator**

**12. Name & Signature of the Data Inspector**

**13. Name & Signature of the Supervisor**

### [3] Summary of the Working Sheet

1. Total No. of Non-agricultural Enterprises [last serial no. in col. (1) of the Working Sheet ] =2+3 

--	--	--	--	--
2. Total No. of Non-agricultural Enterprises relevant for SSI Sector [ No. of Enterprises with Code 1 in col. (4) of the Working Sheet ] = 4+5+6 

--	--	--	--	--
3. Total No. of Non-agricultural Enterprises not relevant for SSI Sector [ No. of Enterprises with Code 2 in col. (4) of the Working Sheet] 

--	--	--	--	--
4. Total No. of Non-agricultural Enterprises with Code 1 in col. (5) of the Working Sheet =7+8 

--	--	--	--	--
5. Total No. of Non-agricultural Enterprises with Code 2 in col. (5) of the Working Sheet =9+10 

--	--	--	--	--
6. Total No. of Non-agricultural Enterprises with Code 3 in col. (5) of the Working Sheet 

--	--	--	--	--
7. No. of Enterprises with Code 1 in col. (6) of the Working Sheet 

--	--	--	--	--
8. No. of Enterprises with Code 2 in col. (6) of the Working Sheet 

--	--	--	--	--
9. No. of Enterprises with Code 1 in col. (7) of the Working Sheet 

--	--	--	--	--
10. No. of Enterprises with Code 2 in col. (7) of the Working Sheet 

--	--	--	--	--
11. Total No. of Enterprises falling in SSI Sector (8+10)= (12+13) 

--	--	--	--	--
12. Total No. of Enterprises falling in SSI Sector that are registered with DICs [No. of Enterprises with Code 1 in col. (8) of the Working Sheet] 

--	--	--	--	--
13. Total No. of Enterprises falling in SSI Sector that are not registered with DICs [No. of Enterprises with Code 2 in col. (8) of the Working Sheet] 

--	--	--	--	--
14. Total Employment Size [Total of col. (10) of the Working Sheet] 

--	--	--	--	--
15. Last serial Number in col. (11) of the Working Sheet, i.e., size of Sub-Stratum I 

--	--	--	--	--
16. No. of Enterprises selected for Detailed Survey in Sub-Stratum I [No. of tick marks in col. (12) of the Working Sheet] 

--	--	--	--	--
17. In Sub-Stratum I, out of the No. of Enterprises selected for Survey, the Number actually surveyed 

--	--	--	--	--
18. Last serial Number in col. (13) of the Working Sheet, i.e., size of Sub-Stratum II 

--	--	--	--	--
19. No. of Enterprises selected for Detailed Survey in Sub-Stratum II [No. of tick marks in col. (14) of the Working Sheet] 

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20. In Sub-Stratum II, out of the No. of Enterprises selected for Survey, the Number actually surveyed 

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# APPENDIX - XIV

FORMAT 3

## Government of India Ministry of Small Scale Industries

### Office of the Development Commissioner (Small Scale Industries) Third Census (2002-2003)-Format for the Survey of Unregistered SSI Units

#### Block [1] Identification Particulars of

1. Sample Serial No.	<input type="text"/>	2. State/UT Code as per Sample List	<input type="text"/>	3. District Code as per Sample List	<input type="text"/>
4. Sector Code as per Sample List (Rural-1, Urban-2)	<input type="text"/>	5. Stratum No. as per sample list	<input type="text"/>	6. Village/Town Code as per Sample List	<input type="text"/>
7. Sub-Stratum No. as per Working Sheet (1 or 2)	<input type="text"/>	8. Enterprise serial no. as per Working Sheet	<input type="text"/>	9. Informant Code (Owner/Partner-1, Managing Director-2, Other-3)	<input type="text"/>
10. Survey Code (Surveyed -1, Not Surveyed-2)	<input type="text"/>				

#### Block [2] Other Particulars of

1. NIC Code of Major Activity as per NIC 98	<input type="text"/>	2. Duration of operation during 2001-2002	<input type="text"/> Months <input type="text"/> Days	3. Whether registered under Factories Act (Registered; under Section 2 (m) (i) or 2(m) (ii) -1; under Section 85 (i) or 85 (ii)-2, Not registered-3)	<input type="text"/>
4. Reasons for not getting registered with DI/DIC (Not interested-1, Complicated Procedures-2, Clearance not available from Municipality-3, Local Govt. Laws/Regulations do not permit-4, Not aware of such Provision-5)	<input type="text"/>	5. Year of initial production (YYYY)	<input type="text"/>	6. Is the unit SSI or SSSBE (SSI - 1, SSSBE - 2)	<input type="text"/>
7. Is the unit Ancillary Unit (Yes - 1, No - 2)	<input type="text"/>	8. Type of Organization (Proprietary or HUF-1, Partnership-2, Pvt. Company-3, Cooperative-4, Others-5)	<input type="text"/>	9. Whether the Unit is a Woman Enterprise (Yes -1, No-2)	<input type="text"/>
10. Market Value of Fixed assets as on 31-3-2002 (in Rs.)	<input type="text"/>	11. Original Purchase value of Plant and Machinery physically installed as on 31-3-2002 (in Rs.)	<input type="text"/>	12. Year of installation of Plant and Machinery referred to, in item 11 (YYYY)	<input type="text"/>
13. Employment : Total	<input type="text"/>	Male	<input type="text"/>	Female	<input type="text"/>
SC	<input type="text"/>	ST	<input type="text"/>	OBC	<input type="text"/>
Others	<input type="text"/>	14. Main Source of Power (No power needed-1, Coal-2, Oil -3, LPG-4, Electricity-5, Non-Conventional Energy-6, Traditional Energy/ Firewood-7)	<input type="text"/>	15. 1st Product/ Service (ASICC Code)	<input type="text"/>
16. 2nd Product/ Service (ASICC Code)	<input type="text"/>	17. 3rd Product/ Service (ASICC Code)	<input type="text"/>	18. 4th Product/ Service (ASICC Code)	<input type="text"/>
19. 5th Product/Service (ASICC Code)	<input type="text"/>	20. Nature of Activity (Manufacturing/Assembling / Processing - 1, Repairing & Maintenance - 2, Services - 3)	<input type="text"/>	21. Nature of Operation (Perennial-1, Seasonal-2, Casual-3)	<input type="text"/>
22. Whether managed by (Male-1, Female-2)	<input type="text"/>	23. Whether managed by (SC-1, ST-2, OBC-3, Others-4)	<input type="text"/>	24. Whether Accounts maintained (Yes-1, No-2)	<input type="text"/>
25. Technical know-how obtained from (Abroad-1, Domestic Collaborating Company/Unit-2, Domestic R&D Institution/ Specialized Agency/Organization-3, None-4)	<input type="text"/>	26. Gross Output for 2001-2002 (in Rs.)	<input type="text"/>	27. Gross Output for 2000-2001 (in Rs.)	<input type="text"/>
28. Gross Output for 1999-2000 (in Rs.)	<input type="text"/>	29. Value of Exports if any for 2001-2002 (in Rs.)	<input type="text"/>	30. Whether the Enterprise has an outstanding loan as on 31-3-2002 (Yes -1, No-2)	<input type="text"/>
31. If Code is 1 in item 30, sources, I) Institutional Sources i.e. Bank, State Financial Corporations, Other Govt. Agencies (Yes-1, No-2)	<input type="text"/>	32. Net Worth of the Unit during 2000-2001 (in Rs.)	<input type="text"/>	33. Net Worth of the Unit during 2001-2002 (in Rs.)	<input type="text"/>
II) Non-institutional Sources i.e. Money Lenders, Friends and Relatives (Yes-1, No-2)	<input type="text"/>				

34. Whether the value in item 33 is less than or equal to half of the value in item 32 (Yes -1, No-2)	<input type="checkbox"/>	35. If code in item 33 is 1 in respect of Institutional Sources, has there been a delay in repayment of principal or interest for more than 12 months as on 31-3-2002 (Yes - 1, No -2)	<input type="checkbox"/>
36. Has there been continuous decline in the Gross output during 2001-2002 compared to the previous 2 financial years (Yes -1, No - 2)			<input type="checkbox"/>
<b>37. If the answer to atleast one of the items 34 to 36 is 'Yes', reasons as to why the Enterprise has not been running satisfactorily</b>			
I) Lack of Demand (Yes-1, No-2)	<input type="checkbox"/>	II) Shortage of Working Capital (Yes-1, No-2)	<input type="checkbox"/>
III) Non-availability of Raw Material (Yes-1, No-2)	<input type="checkbox"/>	IV) Power Shortage (Yes-1, No-2)	<input type="checkbox"/>
V) Labour Problems (Yes-1, No-2)	<input type="checkbox"/>	VI) Marketing Problems (Yes-1, No-2)	<input type="checkbox"/>
VII) Equipment Problems (Yes-1, No-2)	<input type="checkbox"/>	VIII) Management Problems (Yes-1, No-2)	<input type="checkbox"/>

1. Name of the State/UT																							
2. Name of the District																							
3. Name of the Village/Town																							
4. Name of the Unit																							
5. Address of the Unit																							
6. Pin Code		7. Telephone No.		8. Fax No.																			
9. E-mail Address of the Unit																							
10. Major Activity of the Unit																							
11. Major Products/ Services of the Unit																							
I)																							
II)																							
III)																							
IV)																							
V)																							

### 13. Name & Signature of the Data Inspector



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आशा

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