

GOVERNMENT OF INDIA
MINISTRY OF MICRO, SMALL AND MEDIUM ENTERPRISE
OFFICE OF THE DEVELOPMENT COMMISSIONER (MSME)
7th Floor, Nirman Bhavan, New Delhi-110108

NATIONAL AWARDS - 2009
MICRO, SMALL AND MEDIUM ENTERPRISES FOR
OUTSTANDING EFFORTS IN ENTREPRENEURSHIP

1. For use by SLSC/
NLSC only

Form X Criteria & Proforma for Evaluation and Awarding Marks
(MSMEs engaged in manufacturing)

Note: For Evaluation and awarding marks , achievements for the period from 1 April 2006 to 31 March, 2009 ie 2006-07, 2007-08 & 2008-09 or as specified in the application form for all points should only be considered. Marks should be awarded on the basis of documentary evidence.

- A. **NAME OF THE ENTERPRISE** _____
- B. **PRODUCT GROUP** _____
- C. **TYPE OF ENTERPRISE** _____
- D. **STATE** _____

| S.No. | Criteria Parameters for Awarding Marks | Max. Marks | Marks Allotted by State Level Selection Committee | Marks suggested by Technical Division | Brief comments |
|-------|---|------------|---|---------------------------------------|----------------|
| | Rate of Growth based upon Investment on Plant & Machinery, Employment , Sales & Profit | | | | |
| 1 | Investment in Plant & Machinery | 5 | | | |
| | (i) Rising – 5 Marks | | | | |
| | (ii.) Stable or $\pm 10\%$ of base year— 3 Marks | | | | |
| | (iii) Erratic -2 Marks | | | | |

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|----|--|---|--|--|--|
| | (iv) Falling-0 Marks | | | | |
| 2 | Employment | 5 | | | |
| | (i) Rising -5 Marks | | | | |
| | (ii.) Stable or $\pm 10\%$ of base year-- 3 Marks | | | | |
| | (iii)) Erratic -2 Mark | | | | |
| | (iii) Falling-0 Marks | | | | |
| 3. | Annual Sales of Products/ Services/Job work | 5 | | | |
| | (i) Rising – 5 Marks | | | | |
| | (ii.) Stable or $\pm 10\%$ of base year-- 3 Marks | | | | |
| | (iii)) Erratic – 2 Mark | | | | |
| | (iv) Falling-0 Marks | | | | |
| 4. | Net Profit (after taxes) | 5 | | | |
| | (i) Rising - 5 Marks | | | | |
| | (ii.) Stable or $\pm 10\%$ of base year-- 3 Marks | | | | |
| | (iii) Erratic - 2 Marks | | | | |
| | (iv) Falling-0 Marks | | | | |
| 5. | Use and choice of Technology(Award marks for any one of the following categories) | 7 | | | |
| | (i) Self developed (with own R&D and by using Product/Processes superior to conventional) –7 Marks | | | | |
| | (ii)Technology from NRDC/CSIR/Any other | | | | |

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|----|---|----------|--|--|--|
| | R&D Institutions/ Imported–5 Marks | | | | |
| | (iii) Conventional – 3 Marks | | | | |
| 6 | Whether First Generation Entrepreneur | 3 | | | |
| | (i) First Generation – 3 Marks | | | | |
| | (ii) Others – 1 Marks | | | | |
| 7. | Export (Direct) NB : In case due to specific products / supplies to Strategic organization viz Defence etc. export were not permissible full marks may be awarded. | 7 | | | |
| | (i) Rising/stable - 7 Marks | | | | |
| | (ii)) Erratic / Falling – 5 Marks | | | | |
| 8. | Innovation/successful introduction of new product in the market. (Mark any one of the following as applicable) | 5 | | | |
| | (i) Addition of new products resulting in increase in sales. Or | | | | |
| | (ii) Diversification of product line resulting in increase in sales-5 Marks | | | | |
| 9. | Obtaining ISO-9000 Series/14000/18000/ 22000 / HACCP/GMP | 5 | | | |

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|-----|---|----------|--|--|--|
| | certification – 5 Marks (Marks any one of may be given. 3years ie. 2006-07, 2007-08 & 2008-09) | | | | |
| | (i) 3 years and above -5 Marks | | | | |
| | (ii) less than 3years – 3 marks | | | | |
| 10. | Standardization NB: higher of the either (i),(ii)or (iii) may be awarded as applicable | 7 | | | |
| | (i) Obtained BIS Mark/ International Standard / equivalent- 7 Marks | | | | |
| | (ii) Product equivalent to any other National Standard– 5 Marks | | | | |
| | (iii) Product conform to enterprise's/ user's specification – 3 Marks | | | | |
| 11. | Systematic maintenance of Inventory Control – 5 Marks | 5 | | | |
| 12. | Pollution Control Measures –5 Marks Note: (Full marks may be given if these measures are not applicable to this industry subject to presentation of relevant NOC from the Com- | 5 | | | |

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|-----|---|----------|--|--|--|
| | petent Authority/ Enterprise exempted by State Govt.) | | | | |
| | (i) Air Pollution – 2 Marks | | | | |
| | (ii) Water Pollution – 2 Marks | | | | |
| | (iii) Noise Pollution – 1 Marks | | | | |
| 13. | Safety Measure – 3 Marks | 3 | | | |
| 14. | Energy conservation – 5 Marks | 5 | | | |
| 15. | Employees Welfare Measures | 3 | | | |
| | (i) ESI – 1 Marks | | | | |
| | (ii) CPF- 1 Marks | | | | |
| | (iii) Bonus/others – 1 Marks | | | | |
| 16. | Whether any reward scheme introduced for employees for sugges- ting improvements in quality / productivity – 4 Marks | 4 | | | |
| 17. | Whether any training provided to staff / officials to upgrade their skill) – 3 Marks | 3 | | | |
| 18. | Basic Amenities at Work Place | 2 | | | |
| | (i) Canteen -1 | | | | |
| | (ii) others -1 | | | | |

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| 19 | Customers satisfaction and feed back report | 3 | | | |
| 20 | Providing services after sales/ services during guarantee period | 3 | | | |
| 21 | Leadership Abilities (Mark anyone) | 5 | | | |
| | (i) A plan in place to develop the enterprise as a cluster/ regional leader in product – 5 marks | | | | |
| | (ii) Action initiated to adopt the state of the art system/ process in particular business area – 3 marks | | | | |
| | (iii) No such initiatives taken –0 marks | | | | |
| 22 | Strategic Initiatives (Mark anyone) | 5 | | | |
| | (i) Strategic initiatives taken to transform the enterprise to meet change in business environment in next five years. – 5 marks | | | | |
| | (ii) Vision developed to meet the emerging challenges in business – 3 marks | | | | |
| | (iii) No such initiatives taken –0 marks | | | | |
| | Total | 100 | | | |

Signature of Members of State Level Selection Committee

| Name | Designation | Office | Signature |
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Signature
Name and Designation of
the officer of the DC (MSME)