

**GOVERNMENT OF INDIA**  
**MINISTRY OF MICRO, SMALL AND MEDIUM ENTERPRISES**  
**OFFICE OF THE DEVELOPMENT COMMISSIONER (MSME)**  
7th Floor, Nirman Bhavan, New Delhi-110108

**NATIONAL AWARD - 2009**  
**FOR QUALITY PRODUCTS IN MSEs**

1. For use by SLSC/  
NLSC only

**Form "Y" Criteria & Proforma for Evaluation and Awarding Marks**

**Note: For Evaluation and awarding marks, achievements for the period from 1 April 2006 to 31 March, 2009 ie 2006-07, 2007-08 & 2008-09 or as specified in the application form for all points should only be considered. Marks should be awarded on the basis of documentary evidence.**

- A. NAME OF THE ENTERPRISE \_\_\_\_\_  
B. TRADE \_\_\_\_\_  
C. TYPE OF ENTERPRISE \_\_\_\_\_  
D. STATE \_\_\_\_\_

S.No.	Criteria Parameters for Awarding Marks	Maximum Marks	Marks allotted by State Level Selection Committee	Marks suggested by Technical Division	Brief comments
1.	Testing/quality of Raw material used	5			
	or				
	Testing/Quality of Components/sub assemblies				
2.	Has the enterprise requisite Testing equipment/ instrument	3			
3.	Energy conservation measures	3			
4.	Improvement in the Manufacturing and Quality Assurance Techniques	3			

5.	<b>Obtaining ISO-9000 Series/14000/22000 / HACCP/GMP/equivalent certification – (Marks any one of may be given. 3years ie. 2006-07, 2007-08 &amp; 2008-09 )</b>	6			
	(i) 3years and above- 6 Marks				
	(ii) less than 3years – 4 marks				
6.	<b>Standardization NB: higher of the either (i),(ii)or (iii) may be awarded as applicable</b>	6			
	(i) Obtained BIS Mark/ International Standard / equivalent- 6 Marks				
	(ii) Product Conform to IS or any other National – 4 Marks				
	(iii) Product conform to company's user's specification – 3 Marks				
7.	<b>Stage Inspection Procedure during the production/ process</b>	4			
8.	<b>Getting instruments/ Gauges /test rigs Calibrated on regular intervals</b>	4			
9.	<b>Any reward scheme introduced for employees for suggesting Improvements Leading to Quality/Productively improvement</b>	3			
10.	<b>Providing after sales service during the guarantee period</b>	2			

11.	<b>Redressing system for customers complaints</b>	2			
12.	<b>Whether Enterprise provide training to the staff and workers on Quality Assurance</b>	4			
13.	<b>Whether Enterprise has separate staff for maintenance of plant/ machinery</b>	2			
14.	<b>Export (Direct)</b>	6			
	(i) Rising/Stable –6 Marks				
	(ii) Erratic /Falling-4 Marks				
15.	<b>Pollution Control (Note: Full marks be given if these measures are not applicable to this industry subject to presentation of relevant NOC from the Competent Authority/ exempted by Competent Authority)</b>	5			
	(i) Air Pollution – 2 Marks				
	(ii) Water Pollution – 2 Marks				
	(iii) Noise Pollution – 1 Mark				
16.	<b>Welfare Measures</b>	3			
	(i) ESI-1 Marks				
	(ii) CPF-1 Marks				
	(iii) Bonus-1 Mark				
17.	<b>Standard of Packaging</b>	4			
18	<b>Investment in Plant/ Machinery</b>	4			
	(i) Rising – 4 Marks				
	(ii) Stable or $\pm 10\%$ of base year— 3 Marks				

	(iii) Erratic– 1 Mark				
	(iv) Falling – 0 Mark				
19.	No. of employees	4			
	(i) Rising – 4 Marks				
	(ii) Stable or $\pm 10\%$ of base year-- 3 Marks				
	(iii) Erratic – 1 Mark				
	(iv) Falling – 0 Mark				
20.	Value of Annual Sales	4			
	(i) Rising – 4 Marks				
	(ii.) Stable or $\pm 10\%$ of base year – 3 Marks				
	(iii) Erratic – 1 Mark				
	(iv) Falling – 0 Mark				
21.	Profitability	4			
	(i) Rising – 4 Marks				
	(ii.) Stable or $\pm 10\%$ of base year-- 3 Marks				
	(iii) Erratic – 1 Mark				
	(iv) Falling – 0 Mark				
22.	<b>Inventory Control Method</b>	4			
23.	<b>Technology</b>	5			
	(i) Self developed (with own R&D and by using Product/Processes superior to conventional) –5 Marks				
	(ii) Technology from NRDC/ CSIR/Any other R&D Institutions/ Imported – 4 Marks				
	(iii) Conventional –3 Marks				
24	<b>Leadership Abilities (mark anyone)</b>	5			
	(i) A plan in place to develop the enterprise				

	as a cluster/ regional leader in product – 5 marks				
	(ii) Action initiated to adopt the state of the art system/ process in particular business area – 3 marks				
	(iii) No such initiatives taken –0 marks				
<b>25</b>	<b>Strategic Initiatives (mark anyone)</b>	<b>5</b>			
	(i) Initiatives taken to transform the enterprise to meet change in business environment in next five years. – 5 marks				
	(ii) Vision developed to meet the emerging challenges in business –3 marks				
	(iii) No such initiatives taken –0 marks				
	Total	<b>100</b>			

**Signature of Members of State Level Selection Committee**

Name	Designation	Office	Signature

**Signature  
Name and Designation of  
the officer of the DC (MSME)**